

[Company Header/Logo]

Date: [Insert Date]

To: [Recipient Name/Organization Name]

Attention: [Department/Title]

Address: [Recipient Address]

Subject: Advisory Regarding International Sanctions and Trade Compliance Risk

Dear [Name],

This advisory letter is issued to formally outline the international sanctions risks associated with the proposed transaction/business relationship regarding [Project Name/Transaction Reference].

1. Regulatory Context

All international trade activities must comply with the sanctions regulations issued by relevant jurisdictions, including but not limited to the United Nations (UN), the United States (OFAC), the European Union (EU), and [Local Country Authority].

2. Risk Assessment Findings

Based on our current review, the following risk factors have been identified:

- **Geographic Risk:** [Specify if any parties or transit routes involve sanctioned territories].
- **Entity Risk:** [Specify if any parties appear on Restricted Party Lists or have beneficial ownership concerns].
- **Product Risk:** [Specify if goods are Dual-Use or subject to specific export controls].

3. Required Compliance Actions

To mitigate identified risks, the following actions must be completed:

- Completion of the "End-User Certificate" (EUC).
- Enhanced Due Diligence (EDD) on all intermediary banks and logistics providers.
- Verification of ultimate beneficial ownership (UBO) to the 10% threshold.

4. Disclaimer

This advisory is based on current international regulations which are subject to rapid change. Failure to adhere to these compliance standards may result in transaction blocks, legal penalties, and the termination of our business relationship.

Please acknowledge receipt of this advisory by signing below and returning a copy to the Compliance Department.

Sincerely,

[Signature]

[Name of Advisory Officer]
[Title]
[Company Name]

Acknowledgment of Receipt:

Name: _____

Date: _____