

Date: [Insert Date]

To:

[Financial Institution Name]

[Department Name]

[Address Line 1]

[City, State, Zip Code]

Subject: Audit Confirmation Request - Pledged Securities

Dear Sir/Madam,

In connection with the audit of our financial statements, please provide directly to our auditors, **[Auditor Name]** at **[Auditor Address/Email]**, the following information regarding securities pledged as collateral by **[Company Name]** as of the close of business on **[Audit Cut-off Date]**.

Please confirm the details for the following account(s): **[Account Number(s)]**

1. A complete list of securities held by your institution that are pledged as collateral, including:
 - o Description of security (CUSIP/ISIN)
 - o Quantity/Face Value
 - o Market Value as of [Date]
2. The specific liabilities, loans, or lines of credit for which these securities are pledged.
3. The existence of any liens, encumbrances, or restrictions on the withdrawal of these securities.
4. Whether the securities are held in a segregated account or commingled.

If there are no pledged securities or related liabilities at your institution for the specified account(s), please state "None."

Please send your response directly to our auditors. A self-addressed envelope is enclosed for your convenience (or please reply via email to [Auditor Email]).

Sincerely,

[Authorized Signature]

[Name and Title]

[Company Name]

Auditor Confirmation Response:

The information provided above is correct and complete, except as noted below:

[Space for Exceptions or Comments]

Signature: _____

Name: _____

Title: _____

Date: _____