

VIA CERTIFIED MAIL / RETURN RECEIPT REQUESTED

Date: [Date]

To: [Former Employee Name]
[Former Employee Address]
[City, State, Zip Code]

RE: CEASE AND DESIST - Breach of Non-Compete and Confidentiality Agreement

Dear [Former Employee Name],

It has come to the attention of [Wealth Management Firm Name] ("the Firm") that your recent activities are in direct violation of the Non-Compete and Confidentiality Agreement you signed on [Date of Agreement].

Specifically, your employment terms prohibited you from engaging in the following for a period of [Number] months/years following your departure:

- Soliciting or providing wealth management, investment advisory, or financial planning services to any current or former clients of the Firm.
- Competing with the Firm within a [Number]-mile radius of our office locations.
- Disclosing or utilizing trade secrets, proprietary investment strategies, or confidential client lists.

We have evidence indicating that you have [describe breach, e.g., contacted client name(s) / joined a competing firm at address]. These actions cause irreparable harm to the Firm's business interests and client relationships.

DEMAND IS HEREBY MADE THAT YOU:

1. Immediately cease and desist from all further solicitation of the Firm's clients.
2. Immediately cease all competitive business activities that violate your agreement.
3. Return all proprietary data, client files, and confidential materials belonging to the Firm by [Deadline Date].
4. Provide written confirmation by [Deadline Date] that you have complied with these demands.

Failure to comply with this notice will leave the Firm with no choice but to pursue formal legal action, including seeking injunctive relief, monetary damages, and recovery of legal fees as permitted by law.

Please govern yourself accordingly.

Sincerely,

[Signature]

[Name of Authorized Representative]

[Title]

[Firm Name]

CC: [Name of New Employer / Legal Counsel]