

## **URGENT: CEASE AND DESIST LEGAL NOTICE**

Date: [Date]

To: [Former Advisor Name]  
[Former Advisor Address]  
[City, State, Zip Code]

### **RE: ENFORCEMENT OF RESTRICTIVE COVENANTS AND NON-COMPETE AGREEMENT**

Dear [Former Advisor Name],

This letter is formal notice that [Firm Name] ("the Firm") intends to strictly enforce the terms of the Employment Agreement signed by you on [Date of Agreement].

It has come to our attention that you are currently engaged in activities that violate your contractual obligations, specifically regarding: [e.g., Solicitation of clients, use of proprietary trade secrets, or working for a direct competitor within a restricted geographic area].

Specifically, your agreement includes the following restrictive covenants:

- **Non-Competition:** Prohibition from engaging in wealth management or financial advisory services within [Radius] miles of [Firm Location] for a period of [Duration].
- **Non-Solicitation:** Prohibition from contacting or soliciting any clients of the Firm for a period of [Duration].
- **Confidentiality:** Prohibition from using or disclosing client lists, portfolio data, or investment strategies belonging to the Firm.

We have evidence suggesting that you have [Detailed Description of Violation, e.g., contacted client "John Doe"]. This conduct constitutes a material breach of your contract and causes irreparable harm to the Firm's business interests.

### **DEMAND IS HEREBY MADE THAT YOU:**

1. Immediately cease and desist from all further solicitation of the Firm's clients.
2. Immediately terminate any prohibited competitive employment or business activities.
3. Return all proprietary information, client files, and electronic data belonging to the Firm by [Deadline Date].
4. Provide written assurance by [Deadline Date] that you will comply with your post-employment obligations.

Failure to comply with this demand will leave the Firm with no choice but to initiate formal legal proceedings. This may include seeking a temporary restraining order, permanent injunctive relief, and recovery of monetary damages, including legal fees.

Please govern yourself accordingly.

Sincerely,

[Signature]

[Name of Authorized Representative]

[Title]

[Firm Name]

CC: [Name of New Employer / Legal Counsel]