

**VIA CERTIFIED MAIL / RETURN RECEIPT REQUESTED**

[Date]

[Former Employee Name]  
[Former Employee Address]  
[City, State, Zip Code]

**RE: CEASE AND DESIST - VIOLATION OF NON-COMPETE AND SOLICITATION AGREEMENT**

Dear [Former Employee Name],

It has come to our attention that your current activities are in direct violation of the Restrictive Covenant Agreement you signed with [Wealth Management Firm Name] on [Date]. Specifically, we have received information indicating that you are [describe violation, e.g., soliciting former clients or providing wealth management services within the restricted geographic area].

As a reminder, your agreement includes the following enforceable provisions:

- **Non-Competition:** Prohibition from engaging in investment advisory or wealth management services for [Number] months within [Geographic Radius].
- **Non-Solicitation:** Prohibition from contacting, soliciting, or diverting any clients or employees of [Wealth Management Firm Name].
- **Confidentiality:** Prohibition from using proprietary client lists, financial profiles, or trade secrets.

Your actions represent a material breach of your contractual obligations and are causing irreparable harm to our business. **DEMAND IS HEREBY MADE** that you immediately:

1. Cease and desist from any further solicitation of [Wealth Management Firm Name] clients.
2. Return all proprietary data, client files, and confidential information immediately.
3. Provide written assurance by [Deadline Date] that you will comply with your post-employment obligations.

Failure to comply with this demand will leave [Wealth Management Firm Name] with no choice but to pursue formal legal action, including seeking injunctive relief and monetary damages. We have also sent a copy of this notice to your current employer, [New Firm Name], to put them on notice of your existing obligations.

Govern yourself accordingly.

Sincerely,

[Your Name/Legal Counsel Name]

[Title]

[Wealth Management Firm Name]

CC: [New Employer Name/Legal Department]