

[Your Company Name]
[Compliance/Legal Department]
[Address Line 1]
[City, State, Zip Code]
[Email Address]
[Date]

[Recipient Name]
[Recipient Title]
[Recipient Company Name]
[Address Line 1]
[City, State, Zip Code]

RE: CEASE AND DESIST - Unlicensed Co-Branded Investment Offerings

Dear [Recipient Name],

It has come to our attention that [Recipient Company Name] is currently marketing and offering investment products co-branded with [Your Company Name]. Specifically, we have identified the following offerings: [List specific products/URLs].

Please be advised that [Your Company Name] has not authorized the use of its name, logo, or intellectual property in connection with these investment offerings. Furthermore, we have no record of [Recipient Company Name] possessing the necessary regulatory licenses or registrations to solicit, sell, or manage these securities in conjunction with our brand.

These unauthorized activities constitute a violation of trademark laws and potentially breach [List specific Securities Acts/Regulations]. Such actions expose both parties to significant legal and regulatory liability.

[Your Company Name] hereby demands that you:

- Immediately cease and desist from all marketing, solicitation, and sale of any co-branded investment offerings.
- Remove all references to [Your Company Name] from your website, promotional materials, and social media platforms.
- Provide written confirmation by [Date] that all unauthorized offerings have been terminated and all infringing materials have been removed.
- Disclose a full list of investors who have participated in these unauthorized co-branded offerings to date.

Failure to comply with these demands by the deadline stated above will leave us with no choice but to pursue formal legal action, including reporting this matter to [Relevant Regulatory Body, e.g., SEC/FINRA] and seeking injunctive relief and damages.

We expect your immediate cooperation in this matter.

Sincerely,

[Signature]

[Your Name]

[Title]

[Your Company Name]