

[Company Letterhead]

[Date]

[Recipient Name]

[Recipient Title]

[Counterparty/Institution Name]

[Address]

**Re: Regulatory Compliance Comfort Letter - Derivative Portfolio**

Dear [Name],

This letter is provided in connection with the derivative transactions entered into between [Company Name] ("the Company") and [Counterparty Name] under the [ISDA Master Agreement/Relevant Agreement] dated [Date].

We hereby confirm that the Company maintains a comprehensive regulatory compliance framework designed to ensure adherence to applicable laws and regulations governing derivative instruments, including but not limited to [EMIR / Dodd-Frank / MiFID II / Other Relevant Regulation].

The Company confirms the following regarding its derivative portfolio:

- **Regulatory Status:** The Company is classified as a [Financial Counterparty (FC) / Non-Financial Counterparty (NFC+/-)] under [Applicable Regulation].
- **Reporting:** All reportable transactions are submitted to a recognized Trade Repository in accordance with required timelines.
- **Risk Mitigation:** The Company adheres to mandatory risk mitigation techniques, including timely trade confirmation, portfolio reconciliation, and dispute resolution procedures.
- **Margin Requirements:** The Company maintains processes for the exchange of initial and variation margin where applicable under statutory thresholds.
- **Internal Controls:** Regular internal audits and compliance reviews are conducted to verify the integrity of the derivative portfolio and operational workflows.

This letter is for informational purposes only and does not constitute a legal opinion or a waiver of any rights under existing agreements. It is intended to provide comfort regarding our internal compliance standards as of the date written above.

Should you require further documentation or specific regulatory identifiers (LEI, etc.), please contact [Department/Contact Person].

Sincerely,

[Signature]

[Name of Authorized Signatory]  
[Title]  
[Company Name]