

[Company Letterhead]

[Date]

[Auditor Name/Audit Firm]

[Address]

[City, State, Zip Code]

**Subject: Acknowledgment of AML/BSA Audit Findings - [Audit Period]**

Dear [Auditor Name],

This letter serves as formal acknowledgment that the management of [Financial Institution Name] has received and reviewed the final report regarding the Anti-Money Laundering (AML) and Bank Secrecy Act (BSA) compliance audit conducted on [Date].

We acknowledge the findings identified during the examination, specifically regarding:

- [Finding 1: e.g., Customer Due Diligence documentation gaps]
- [Finding 2: e.g., Suspicious Activity Monitoring parameters]
- [Finding 3: e.g., Timeliness of CTR filings]

Management accepts responsibility for addressing these observations. We are currently developing a formal Remediation Plan that includes specific corrective actions, assigned personnel, and expected completion dates for each finding. This plan will be presented to the Board of Directors and made available for your review by [Date].

We remain committed to maintaining a robust compliance framework and ensuring all regulatory requirements are met in accordance with the Bank Secrecy Act.

Sincerely,

[Signature]

[Name of Authorized Officer]

[Title, e.g., Chief Compliance Officer / BSA Officer]

[Financial Institution Name]