

[Date]

[Auditor Name/Audit Firm]

[Address Line 1]

[City, State, Zip Code]

RE: Acknowledgment of Preliminary Bank Secrecy Act (BSA) Audit Findings

Dear [Auditor Name],

This letter serves to formally acknowledge receipt of the preliminary audit findings resulting from the Bank Secrecy Act (BSA) / Anti-Money Laundering (AML) audit conducted on [Date Audit Commenced] through [Date Audit Concluded].

We have reviewed the summary of preliminary findings and observations presented during the exit meeting held on [Date]. Management understands the areas identified for improvement and the regulatory implications associated with these findings.

We are currently in the process of reviewing each item in detail to:

- Verify the accuracy of the facts presented;
- Assess the root cause of any identified deficiencies; and
- Develop a formal management response and corrective action plan.

Our formal response, including proposed timelines for remediation, will be provided to you by [Date for Submission].

We appreciate the professional manner in which the audit was conducted and the insights provided to strengthen our compliance program.

Sincerely,

[Signature]

[Name of Authorized Officer]

[Title, e.g., BSA Compliance Officer]

[Financial Institution Name]