

[Your Name]
[Your Address]
[City, State, Zip Code]
[Your Phone Number]
[Your Email Address]

[Date]

[Compliance Officer Name/Manager Name]
[Wealth Management Firm Name]
[Firm Address]
[City, State, Zip Code]

RE: Formal Complaint Regarding Misleading Information in Prospectus - [Investment Name/Account Number]

Dear [Name of Contact Person],

I am writing to lodge a formal complaint regarding the [Name of Fund/Investment Product] which I purchased on [Date] through your firm. My complaint concerns significant discrepancies between the details provided in the investment prospectus and the actual performance and management of the asset.

Specifically, the prospectus contained misleading information regarding:

- [Detail 1: e.g., The projected risk levels vs. actual volatility]
- [Detail 2: e.g., The fee structure and hidden management costs]
- [Detail 3: e.g., The underlying assets and diversification claims]

I relied on these documented details to make an informed investment decision. Had the prospectus accurately reflected the true nature of this investment, I would not have committed my capital to this product. As a result of these inaccuracies, I have incurred [mention financial loss or specific grievance].

I request that you investigate this matter immediately. As a resolution, I am seeking [mention desired outcome, e.g., a full refund of my initial investment, compensation for losses, or a waiver of exit fees].

I have attached copies of the prospectus sections in question and my transaction records. I look forward to your written response within [Number of days, e.g., 14] business days.

Yours sincerely,

[Your Signature]

[Your Printed Name]