

[Company Name]
[Department/Compliance Office]
[Street Address]
[City, State, Zip Code]
[Date]

[Recipient Name/Title]
[Regulatory Agency Name]
[Agency Address]
[City, State, Zip Code]

RE: Submission of Third-Party Risk Mitigation Strategy - [Reference Number/Case ID]

Dear [Recipient Name/Regulatory Body],

Pursuant to [Specific Regulation/Statute Name], [Company Name] hereby submits the enclosed Third-Party Risk Mitigation Strategy for your review and formal filing. This document outlines our comprehensive framework for identifying, assessing, and managing risks associated with our external vendors and partners.

The enclosed strategy includes detailed protocols regarding:

- Due diligence and onboarding procedures for high-risk entities.
- Continuous monitoring and performance audit schedules.
- Data security and privacy compliance requirements for third parties.
- Contingency and exit strategies for critical service providers.

We confirm that this strategy has been approved by our Board of Directors and integrated into our institutional risk management program to ensure ongoing operational resilience and regulatory compliance.

Should you require further documentation or wish to discuss specific elements of this mitigation plan, please contact our designated Liaison Officer, [Contact Name], at [Phone Number] or [Email Address].

Sincerely,

[Signature]

[Name of Authorized Signatory]
[Title/Position]
[Company Name]

Enclosure: Third-Party Risk Mitigation Strategy Document