

[Date]

[Recipient Name]

[Title]

[Institution Name]

[Address Line 1]

[Address Line 2]

RE: Notification of Annual Supervisory Rating - [Year]

Dear [Recipient Name],

This letter serves as formal notification of the annual supervisory rating assigned to [Institution Name] for its wealth management operations, following the examination concluded on [Date].

Based on our assessment of the institution's risk management framework, internal controls, compliance protocols, and fiduciary performance, your institution has been assigned the following supervisory rating:

Composite Supervisory Rating: [Rating Number/Grade]

This rating reflects our evaluation of the following core components:

- **Risk Management:** [Rating]
- **Operational Controls:** [Rating]
- **Compliance & Legal:** [Rating]
- **Fiduciary Administration:** [Rating]

Summary of Findings:

[Insert brief summary of key strengths and areas requiring improvement].

The institution is required to address the identified deficiencies within [Number] days. A detailed report of examination is attached for your review. Please acknowledge receipt of this notification and submit your written response or action plan by [Deadline Date].

Should you have any questions regarding this assessment, please contact [Examiner Name] at [Phone Number] or [Email Address].

Sincerely,

[Signature]

[Sender Name]

[Title/Department]

[Regulatory Agency Name]