

[Date]

[Contact Name]

[Title]

[Institution Name]

[Address Line 1]

[Address Line 2]

## **RE: Conclusion of Anti-Money Laundering (AML) Targeted Examination**

Dear [Contact Name],

The [Regulatory Agency Name] has completed its targeted examination of [Institution Name] regarding compliance with Anti-Money Laundering (AML) and Counter-Terrorist Financing (CTF) regulations. The examination focused specifically on [Specific Scope/Department, e.g., Transaction Monitoring Systems or Customer Due Diligence] for the period of [Start Date] to [End Date].

The purpose of this letter is to formally close the examination. Based on the review of the documentation provided and the testing conducted, our findings are as follows:

[Select one option below]

- **No Significant Findings:** The examination did not identify any significant deficiencies or violations of regulatory requirements at this time.
- **Findings Requiring Attention:** The examination identified specific areas for improvement. These findings, along with required corrective actions, are detailed in the attached Examination Report.

Please note that this conclusion is based on the information available during the examination. It does not preclude the [Regulatory Agency Name] from reviewing these or other areas in future general or targeted examinations.

If the attached report requires a formal response, please submit your remediation plan by [Response Due Date].

If you have any questions regarding this letter, please contact [Examiner Name] at [Phone Number] or [Email Address].

Sincerely,

[Signature]

[Name of Lead Examiner]

[Title]

[Regulatory Agency Name]

Enclosure: [Detailed Examination Report]