

Date: [Insert Date]

To: [Insert Company Name]

Subject: Consent for Financial and Regulatory Background Check

I, [Full Name], hereby authorize [Company Name] and its authorized representatives or third-party agents to conduct a comprehensive background investigation in connection with my [application for employment / continued employment / contract].

I specifically understand and agree that this background check may include, but is not limited to, the following:

- **Financial Credit History:** Review of credit reports, bankruptcy filings, and financial standing.
- **Regulatory Records:** Checking records from regulatory bodies (such as FINRA, SEC, FCA, etc.) for any disciplinary actions, sanctions, or debarments.
- **Criminal Records:** Verification of any criminal history or pending litigation.
- **Employment and Education:** Verification of previous positions held and academic qualifications.

I authorize any government agency, financial institution, educational institution, or credit reporting agency to release any information requested to [Company Name]. I release [Company Name] and all persons or entities providing such information from any and all liability or claims arising from the retrieval or use of this information.

This authorization shall remain valid for the duration of my employment or engagement with the company, unless revoked by me in writing.

Applicant/Employee Information:

Full Name: [Full Name]

Social Security Number / National ID: [ID Number]

Date of Birth: [Date of Birth]

Current Address: [Current Address]

Signature

Date